

ROLLOVER / TRANSFER IN FORM

(Please Print or Type)

Participant Name (Last, First) _____ **Social Security No.** _____

Mailing Address _____

City _____ **State** _____ **Zip** _____ **Daytime Phone** _____

SECTION I – Explanation

You are permitted to roll distributions from certain retirement accounts such as other qualified plans if the rollover is approved by the Plan Administrator. Use this form for a rollover from the Corporate Risk Holdings, LLC 401(k) Plan only.

To process the rollover into the Duff & Phelps , LLC Savings Plan, make the check payable as listed below noting that listing a different payee may result in posting delays.

RTC, FBO DUFF # 0150382562, FBO (Your Name)

Amounts rolled into the Plan may be withdrawn at any time.

Amounts rolled into the Plan will be invested based on your current investment elections or, if none, will be invested in the default investment fund selected by your employer. You can transfer amounts invested in the default fund into different funds by following the Plan’s investment election procedures. Please contact Newport Group at 888-401-5488 for more information.

SECTION II – Information Regarding Rollover

I request the Plan to accept the attached rollover contribution from the Corporate Risk Holdings, LLC 401(k) Plan.

Please enter the rollover check amount below:

Rollover Amount: \$ _____ **RTC Deposit Code: 90**

This rollover **will NOT** include a transfer of my existing loan balance in the Corporate Risk Holdings, LLC 401(k) Plan.

Deliver the check and a completed Rollover/Transfer In form to:

Duff & Phelps, LLC
Attention: Benefits Department
300 Headquarters Plaza
East Tower, 11th Floor
Morristown, NJ 07960

SECTION III – Participant Signature

By signing below, I certify that the information I have provided in Section II above is accurate and complete.

_____/_____/_____
Participant’s Signature Date

The Plan Administrator has provided Newport Group with a standing authorization to accept rollovers from the Corporate Risk Holdings, LLC 401(k) Plan.